

#6

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of

Applicants : Broadley et al.
 Serial No. : 10/529,105 ✓
 Filed : March 25, 2005
 Title : **ROLL-IN COT**
 Docket : FER 0226 PA/40271.396

MAIL STOP PCT

Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

Sir:

CERTIFICATE OF MAILING
 I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on November 10, 2005.

William A. Jividen

Reg. No. 42,6955

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS

This is in response to the Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US) mailed July 14, 2005.

Applicants submit herewith a properly signed declaration in compliance with 37 CFR §1.497 (a) and (b). Also enclosed is a copy of the Notification of Missing Requirements (Form PCT/DO/EO/905).

The surcharge in the amount of \$130.00 as set forth in 37 CFR 1.492(e) is being submitted on the enclosed Credit Card Payment Form (PTO-2038), and the amount of \$100.00 for payment of the examination fee. Applicants are also filing simultaneously herewith a Petition for a Two Month Extension of Time.

Adjustment date: 11/18/2005 HKAYPAGH
 07/14/2005 BCAMPBEL 00000009 10529105
 01 FC:1206 -100.00 DP

Respectfully submitted,
 DINSMORE & SHOHL LLP

11/18/2005 HKAYPAGH 00000052 10529105

01 FC:1633 200.00 DP
 02 FC:1617 130.00 DP

By

William A. Jividen
 Registration No. 42,695

One Dayton Centre
 One South Main Street, Suite 1300
 Dayton, Ohio 45402-2023
 Telephone: (937) 223-2050
 Facsimile: (937) 223-0724

WAJ/ems
 Encls.

Effective on 12/08/2004.

Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

**FEE TRANSMITTAL
For FY 2005**☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$) 230.00**Complete if Known**

Application Number	10/529,105
Filing Date	March 25, 2005
First Named Inventor	Gavin Lee Broadley
Examiner Name	
Art Unit	
Attorney Docket No.	FER 0226 PA/40271.396

METHOD OF PAYMENT (check all that apply)☒ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____☐ Deposit Account Deposit Account Number: _____ Deposit Account Name: _____

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below☐ Charge fee(s) indicated below, except for the filing fee☐ Charge any additional fee(s) or underpayments of fee(s)
under 37 CFR 1.16 and 1.17☐ Credit any overpayments**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	100.00
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent	50	25
Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent	200	100
Multiple dependent claims	360	180

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)	Multiple Dependent Claims	Fee (\$)	Fee Paid (\$)
- 20 or HP = _____ x _____ = _____						
HP = highest number of total claims paid for, if greater than 20						
Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)			
- 3 or HP = _____ x _____ = _____						
HP = highest number of independent claims paid for, if greater than 3						

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
- 100 = _____ / 50 = _____ (round up to a whole number) x _____ = _____				

4. OTHER FEE(S)


Non-English Specification, \$130 fee (no small entity discount)

Other: late oath or declaration surcharge

Fees Paid (\$)

130.00

SUBMITTED BY

Signature		Registration No. 42,695 (Attorney/Agent)	Telephone (937) 449-6400
Name (Print/Type)	William A. Jvigen		Date November 10, 2005

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED
 Rec'd PCT/PTO
 JUL 16 2005
 14 NOV 2005

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/529,105	Gavin Lee Broadley	FER 0226 PA/40271.396

INTERNATIONAL APPLICATION NO.

PCT/US03/30429

I.A. FILING DATE

09/26/2003

PRIORITY DATE

09/26/2002

23368
 DINSMORE & SHOHL LLP
 ONE DAYTON CENTRE, ONE SOUTH MAIN STREET
 SUITE 1300
 DAYTON, OH 45402-2023

AMW

JUL 18 2005

DOCKETED

Date Mailed: 07/14/2005

CONFIRMATION NO. 1962

371 FORMALITIES LETTER



OC000000016526097

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 03/25/2005
- Copy of the International Search Report filed on 03/25/2005
- Oath or Declaration filed on 03/25/2005
- U.S. Basic National Fees filed on 03/25/2005
- Priority Documents filed on 03/25/2005
- Specification filed on 03/25/2005
- Claims filed on 03/25/2005
- Abstracts filed on 03/25/2005
- Drawings filed on 03/25/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
 - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- \$130 Surcharge for providing the oath or declaration later than 30 months from the priority date (37 CFR 1.492(e)) is required.

SUMMARY OF FEES DUE:

BEST AVAILABLE COPY

Total additional fees required for this application is \$230 for a Large Entity:

- \$130 Late oath or declaration Surcharge.
- The application examination fee has not been paid. Applicant must submit \$200 to complete the examination fee for a non-small entity

(A previous payment of \$100 will be applied to the additional fees indicated above.)

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

BARBARA A CAMPBELL

Telephone: (703) 308-9140 EXT 217

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/529,105	PCT/US03/30429	FER 0226 PA/40271.396

FORM PCT/DO/EO/905 (371 Formalities Notice)

BEST AVAILABLE COPY